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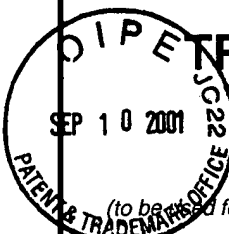
GP 1645

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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|---|-----------------------------|-------------------------------|--------------|
|  <p><b>TRANSMITTAL FORM</b></p> <p>(to be used for all correspondence after initial filing)</p> | <b>Application Number</b>   | 09/818,990                    |              |
|   | <b>Filing Date</b>          | March 27, 2001                |              |
|   | <b>First Named Inventor</b> | Walke                         |              |
|   | <b>Group Art Unit</b>       | 1645                          |              |
|   | <b>Examiner Name</b>        | To Be Assigned                |              |
| <b>Total Number of Pages in This Submission</b>   | 6                           | <b>Attorney Docket Number</b> | LEX-0152-USA |

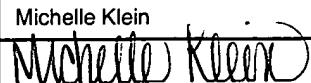
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| <table border="1"> <tr> <td>Remarks</td> <td>2 Binders</td> </tr> </table>   |   |   | Remarks | 2 Binders |
| Remarks  | 2 Binders   |   |         |           |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                |  |
|--------------------------------|--|
| <b>Firm or Individual name</b> | Lance K. Ishimoto Reg. No. 41,866<br>Lexicon Genetics Incorporated   |
| <b>Signature</b>               | Lance K. Ishimoto by David W. Hibler DAVID W. HIBLER REG. NO. 41,071 |
| <b>Date</b>                    | September 7, 2001  |

**CERTIFICATE OF MAILING**

|   |   |             |                   |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>September 7, 2001</u> |   |             |                   |
| <b>Typed or printed name</b>  | Michelle Klein  |             |                   |
| <b>Signature</b>  |  | <b>Date</b> | September 7, 2001 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Walke et al.

Application No.: 09/818,990

Filed: March 27, 2001

Group Art Unit: 1645

Title: Novel Human Proteins and  
Polynucleotides Encoding the Same

Examiner: To Be Assigned

Attorney Docket No.: LEX-0152-USA

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box DD

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)

believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

09/07/01  
Date

REG. NO. 41,071  
DAVID W. HOBLER  
Lance K. Ishimoto by David W. Hobler  
Lance K. Ishimoto Reg. No. 41,866

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